

Research Institute for Humanity and Nature Program-Project Rules

Established on March 10, 2016

Rule No. 60

Final Amendment on November 8, 2022

(Purpose)

Article 1 These rules shall apply to the Research Institute for Humanity and Nature (hereinafter, "RIHN") in accordance with the provisions of Article 3, Paragraph 2 of the Rules of the Research Department of the RIHN. The RIHN shall establish research and strategic programs (hereinafter, "Programs"), and oversee the organization and operation of research projects, etc. conducted under the Programs.

(Program-Project system)

Article 2 The RIHN shall, based on its mission, implement a Program-Project system that organically links multiple research projects and their preparatory studies under a program that unites diverse research activities beyond existing disciplines and fields of study, to comprehensively develop research activities.

2 As research activities are deepened and developed through continuous collaboration, the RIHN will establish each phase of research activities, and conduct evaluations and reviews.

3 The RIHN will take utmost care to ensure that research results are shared both within and outside the RIHN, and that seeds of new research activities are generated and passed on.

(Definition)

Article 3 A "Program" shall imply the following:

(i) Research Program: A program that aims to build and present options for changing people's awareness and values and society's specific way of being. This is achieved through the implementation of academic research and collaborative practices in society to solve global environmental problems.

(ii) Strategic Program: A program that aims to establish inter-disciplinary theory and methodology for solving global environmental problems in collaboration with general society.

2 Research projects are Full Research (hereinafter, "FR") and Pre-Research (hereinafter, "PR") projects, as described below. Projects under the research program are called "research projects," and those under the strategic program are called "strategic projects."

(i) FR: Joint research conducted in accordance with the specific themes of the priority issues established in the Program

(ii) PR: Collaborative research to be conducted during the transition period to FR. However, this PR category is not established for strategic projects.

PR refers to joint research conducted prior to the commencement of a research project, and includes the following: Feasibility Studies (hereinafter, "FS") and Incubation Studies (hereinafter, "IS").

- (i) FS: Preliminary joint research to verify feasibility as an FR.
- (ii) IS: Joint research that can be conducted prior to the FS to identify and cultivate research seeds. However, this IS category is not established for strategic projects.

4 Research projects and PR are collectively referred to as "research projects, etc."

(Implementation of research projects, etc.)

Article 4 Research projects, etc., shall be conducted in accordance with a research plan that describes the matters necessary for the implementation of research activities.

2 The research plan set forth in the preceding paragraph shall be prepared every fiscal year, and approved by the Council for Research Strategy.

(Period of implementation of research projects, etc.)

Article 5 The period of implementation of research projects shall be as specified in the following items, unless otherwise provided for in the Bylaws, and shall be decided by the Board of Advisors after deliberation by the Council for Research Strategy.

- (i) FR of research projects: 5 years, 4 years, or 3 years
- (ii) FR of strategic projects: 3 years or 2 years
- (iii) PR: within 1 year. However, the PR may not be implemented beyond the fiscal year.

2 The period for conducting PR shall be as specified in the following items, unless otherwise stipulated in the bylaws, and shall be decided by the Director-General of RIHN (hereinafter, "DG") after deliberation by the Council for Research Strategy.

- (i) FS of research projects: 1 year or 6 months. However, an FS may not be implemented beyond the fiscal year.
- (ii) FS of strategic projects: 1 year
- (iii) IS: 1 year or 6 months. However, an IS may not be implemented beyond the fiscal year.

(Operation (management) system of the Program-Project system)

Article 6. A Program shall have a Program Director as stipulated in Article 3 of the Rules for Organization and Operation of the RIHN. The said Program Director shall supervise a Program comprising multiple research projects, etc., and shall be responsible for the operation and management of the said Program.

2 The RIHN will determine and publish the mission statement of the program at the Council for Research Strategy meeting promptly after the Program Director's arrival.

3 A principle investigator (hereinafter, "PI") shall be assigned to the research project, etc., as the person responsible for the execution of the research plan.

4 The PI appoints the research project members (hereinafter, "Members") who will participate in the research project, etc., and conduct joint research.

5 The PI may appoint a sub-leader from among the Members to assist in the execution of the research plan of a research project, etc.

6 The PI may designate core members from among the Members to share responsibility for research execution, and conduct research activities of a research project, etc.

(Principal Investigator)

Article 7 The PI stipulated in Paragraph 3 of the preceding Article shall be referred to, respectively, as Project Leader (abbreviated as "PL") for research projects, FS responsible person for FS, and IS Proposer for IS, and shall be appointed by the persons as stipulated in each item below.

- (i) PL of research projects: Professor or Associate Professor from the Research Department of RIHN
- (ii) PLs of strategic projects: Professor, Associate Professor, or Assistant Professor from the Research Department of the RIHN
- (iii) FS Manager: Full-time research and education staff or visiting faculty members of the RIHN
- (iv) IS proposer: A person judged by the DG to possess research ability equivalent to or better than that of an Assistant Professor

(Open call for PR)

Article 8. PR shall be publicly solicited both within and outside the Institute, and the DG shall decide on the implementation of the public solicitation after deliberation by the Council for Research Strategy.

2 Adoption of the PR shall be decided by the DG after selection by the Council for Research Strategy based on the results of the reviews as specified below.

- (i) First round: Document review of submitted research proposals
- (ii) Second round selection: Review based on the presentations made by those selected in the previous item

3 The DG shall report the results of selections to the Board of Advisors.

(Transition from IS to FS)

Article 9 A transition from IS to FS shall be made via selection by the Council for Research Strategy, based on the results of the review of presentations made by IS proposers, and shall be decided by the DG.

2 The DG shall report the results of the transition to the Board of Advisors.

(Transition from FS to research project)

Article 10 The transition from FS to a research project shall be made by selection by the Council for Research Strategy based on the results of screening and evaluation as specified below, and decided by the Board of Advisors.

- (i) First round selection: Screening based on the presentation made by the FS responsible person
- (ii) Second-round selection: External review (evaluation) by the Evaluation Committee (EREC)

(External review and reporting within the EREC)

Article 11 The PL shall perform an evaluation of the progress of the research project and other matters at the EREC in the fiscal year designated by the Council for Research Strategy.

- 2 The PL shall perform a comprehensive final evaluation of the research project at the EREC held in the year in which the FR is completed.
- 3 The PL shall report on the progress of the research project and other matters at the EREC in a fiscal year not covered by the preceding two paragraphs.
- 4 The Program Director shall report on the progress of the program and other matters at the annual EREC.
- 5 The results of the EREC's review (evaluation), and advice on the report, shall be made public through printed matter, electronic data, website, or other media.

(Report presentation sessions)

Article 12 The Institute shall organize an annual report presentation session for all RIHN members and other interested parties inside and outside the RIHN to share and discuss the research contents of the programs and research projects, thereby contributing to the improvement of the quality of research.

- 2 Matters necessary for the implementation of the report presentation session shall be decided by the DG after deliberation by the Council for Research Strategy.
- 3 The Program Director and PL shall report on the progress of research activities and other matters at the report presentation session as described in the preceding paragraph.

(Allocation of research funds)

Article 13 The allocation (distribution) of research funds for research projects, etc., shall be decided by the Director after deliberation by the Council for Research Strategy with reference to the research plan, etc., as stipulated in Article 4.

(Publication of research results)

Article 14. When publishing the research results of a research project, etc. (including those that have been terminated), the results shall be clearly indicated as the research results of the RIHN.

(Other)

Article 15 In addition to the stipulations in these Rules, matters necessary for the implementation of these Rules shall be separately prescribed.

Supplementary Provisions

- 1 These regulations shall come into effect on April 1, 2016.
- 2 The Rules for Research Projects of the RIHN (enacted on December 20, 2010) are repealed.

Supplementary Provisions

These rules shall come into effect on December 10, 2019.

Supplementary Provisions

- 1 These rules shall come into effect on [Month, Day], 2022.
- 2 The Procedures for Implementations of FR, FS, and IS Reviews of the RIHN for FR, FS, and IS Examinations (Ruling of the Director-General on January 25, 2011) are repealed.