Rules for Research Projects of the Research Institute for Humanity and Nature

Enacted on December 20, 2010

Article 1. Purpose

These rules stipulate matters associated with the management of research projects and other undertakings conducted by the Research Institute for Humanity and Nature (hereinafter "RIHN") in accordance with the Basic Policies on Research Activities of the Research Institute for Humanity and Nature decided by the Board of Advisors of RIHN (hereinafter the "Basic Policies").

Article 2. Definitions

1. In these rules, "research projects" mean joint research undertakings launched by researchers both within and outside of RIHN by following the prescribed procedures with the aim of achieving results within a predetermined timeframe. "Research projects" shall be grouped as follows:

- a. Pre-Research (PR), preparatory joint research conducted prior to Full Research in approximately one year; and
- b. Full Research (FR), joint research conducted in a period as determined by the Board of Advisors (3–5 years).

2. As part of the preparatory steps prior to the launch of a research project, the following research segments may be set up:

- a. Incubation Studies (IS), joint research conducted to discover new research seeds to launch a research project; and
- b. Feasibility Studies (FS), preparatory joint research conducted to determine the transition to FR.

Article 3. Evaluation of Research Projects

1. Upgrading the status of FS to a research project shall be approved by the Board of Advisors based on the results of evaluation made by the Project Evaluation Committee (hereinafter, "PEC"). Upon approval as a research project, an appropriate amount of research fund shall be allocated by RIHN.

2. Research projects shall be subjected to evaluation by PEC.

Article 4. Research Organization

1. An IS Proposer and an FS Manager shall be appointed for each IS and FS as research representatives.

2. An IS Proposer shall be chosen from among those who the RIHN Director-General acknowledges equivalent to Assistant Professor of RIHN or above, provided that, should the IS Proposer not be a full-time RIHN research-staff member, the RIHN Director-General shall designate a full-time RIHN research-staff member as an in-house incubator to provide advice on the research policies, etc. of the relevant IS.

3. An FS Manager shall be a full-time Professor, Associate or Assistant Professor, or a Visiting Professor or Visiting Associate Professor of RIHN, provided that an FS Manager who is an RIHN Visiting Professor or Visiting Associate Professor shall perform his or her duties in cooperation with an in-house research collaborator who is either a full-time Professor or Associate Professor of RIHN.

Article 5. Project Leaders

1. A project leader (hereinafter, the "Leader") shall be chosen from among full-time RIHN Professors or Associate Professors to serve as a research representative.

2. The Leader shall supervise research projects and assume responsibility for the management of research projects.

Article 6. Project Members

1. Researchers who participate in research projects or other joint-research based on research planning and jointly conduct research shall be referred to as research project members (hereinafter, the "Members").

2. The Members shall be elected by the Leader.

3. The Leader shall designate a sub-leader from among the Members who will assist the Leader in management of research projects. In the absence of the Leader, the sub-leader shall manage research projects with advice from the Program Director.

4. The Leader may designate some of the Members as core members to share the responsibility with the Leader to conduct research activities for implementation of the research project.

5. The Leader shall appoint administrative staff member(s), who will deal with accounting, liaison for the research project, etc., for his or her research project

Article 7. Publication of the Outcome of Research

1. The Members shall endeavor to deliver any outcome of their research to society in general by reading papers at academic conferences, etc. Any such outcome shall be clearly credited to RIHN.

2. Researchers who have retired from RIHN may publish the outcome of research projects by clearly crediting the same to RIHN.

Article 8. Miscellaneous

Other necessary matters associated with the implementation of research projects that are not stipulated by these rules shall be stipulated separately.

Supplementary Clauses

1. These rules were enacted on December 20, 2010.

2. The Rules for Joint Research of the Research Institute for Humanity and Nature (enacted on February 22, 2007) shall be abolished.